



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: YUDA ET AL

SERIAL NO: 09/820,149

FILED: MARCH 28, 2001

FOR: REMOTE PLASMA APPARATUS FOR PROCESSING SUBSTRATE...

DOCKET: NEC WNZ-2301

Application Processing Div.
Customer Correction Branch
Assistant Commissioner for Patents
Washington, D.C. 20231

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REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

We enclose a copy of the filing receipt in which we have marked in red ink the correction for a clerical error contained therein, i.e., "sustrate" should be - -substrate- -. Also enclosed is a copy of the Declaration in support of our request.

We respectfully request that you correct your records and send a corrected filing receipt. We believe there are no fees involved with the correction. However, in the event there are any fees payable, please charge them to our Deposit Account No. 08-1391.

Respectfully submitted,

Norman P. Soloway
Attorney for Applicant
Reg. No. 24,315



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on October 17, 2001 at Manchester, New Hampshire.

By: Kristine Stevens

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Receipt

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/820,149	03/28/2001	1763	2598	NEC WNZ-2310	16	51	18

CONFIRMATION NO. 3988

HAYES, SOLOWAY, HENNESSEY, GROSSMAN & HAGE, PC
175 Canal Street
Manchester, NH 03101

UPDATED FILING RECEIPT



OC000000006565877

SEP 27 2001

Date Mailed: 09/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Katsuhisa Yuda, Tokyo, JAPAN;
Hiroshi Nogami, Tokyo, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 196619/2000 06/29/2000

If Required, Foreign Filing License Granted 05/25/2001

Projected Publication Date: 01/03/2002

Non-Publication Request: No

Early Publication Request: No

Title

Remote plasma apparatus for processing ~~substrate~~ with two types of gases

substrate

Preliminary Class

118

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Bib Data Sheet

CONFIRMATION NO. 3988

SERIAL NUMBER 09/820,149	FILING DATE 03/28/2001 RULE	CLASS 118	GROUP ART UNIT 1763	ATTORNEY DOCKET NO. NEC WNZ-2310
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APPLICANTS

Katsuhisa Yuda, Tokyo, JAPAN;
Hiroshi Nogami, Tokyo, JAPAN;

** CONTINUING DATA *****

none amc

** FOREIGN APPLICATIONS *****

JAPAN 196619/2000 06/29/2000 amc

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DEC 26 2001
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IF REQUIRED, FOREIGN FILING LICENSE GRANTED

** 05/25/2001

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY JAPAN	SHEETS DRAWING 16	TOTAL CLAIMS 51	INDEPENDENT CLAIMS 18
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature <i>Amal Chowell</i> Initials <i>amc</i>				

ADDRESS

HAYES, SOLOWAY, HENNESSEY, GROSSMAN & HAGE, PC
175 Canal Street
Manchester, NH 03101

TITLE

Remote plasma apparatus for processing substrate with two types of gases

FILING FEE RECEIVED 2598	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit



DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Attorney Docket No: <u>NEC WNZ-2310</u>	
First Named Inventor: <u>YUDA</u>	
Complete if known: Serial No: <u>09/820,149</u>	Filing Date: <u>March 28, 2001</u>
Group Art Unit: _____	Examiner: _____

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled REMOTE PLASMA APPARATUS FOR PROCESSING SUBSTRATE WITH TWO TYPES OF GASES, the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, S. 1.56(a).

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

<u>Prior Foreign Application(s):</u>			<u>Priority Claimed</u>	<u>Certified Copy Attached</u>
<u>196619/2000</u>	<u>Japan</u>	<u>6/29/2000</u>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(Number)	(Country)	(Month/Day/Year Filed)		
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
(Number)	(Country)	(Month/Day/Year Filed)		

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below:

Application No: _____ Filing Date: _____

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

US Parent Application No.
or PCT Parent Appln. No

Parent Filing Date

Parent Patent Number
(if applicable)

And I hereby appoint HAYES, SOLOWAY, HENNESSEY, GROSSMAN & HAGE, P.C., a firm composed of:

Oliver W. Hayes, Reg. No. 15,867

Steven J. Grossman, Reg. No. 35,001

Norman P. Soloway, Reg. No. 24,315

Susan H. Hage, Reg. No. 29,646

William O. Hennessey, Reg. No. 32,032

Donald J. Perreault, Reg. No. 40,126

or any of them, of 175 Canal Street, Manchester, New Hampshire 03101 (Telephone: 603-668-1400) and

Edmund P. Pfleger, Reg. No. 41,252

Dale F. Regelman, Reg. No. 45,625

or any of them, of 130 West Cushing Street, Tucson, Arizona 85701 (Telephone: 520-882-7623)

as my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent Office connected therewith.

Please direct all future correspondence in connection with this application to the attention of **Norman P. Soloway** HAYES, SOLOWAY, HENNESSEY, GROSSMAN & HAGE, P.C., 175 Canal Street, Manchester, New Hampshire 03101 (Telephone: 603-668-1400).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: KATSUHISA YUDA

First Inventor's signature Katsuhisa Yuda Date June 25, 2001

Residence: Tokyo, Japan

Citizenship: Japanese

Post Office Address: c/o NEC Corporation, 7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan

Full name of second joint inventor: HIROSHI NOGAMI

Second Inventor's signature *Hiroshi Nogami* Date June 25, 2001

Residence: Tokyo, Japan

Citizenship: Japanese

Post Office Address: c/o ANELVA Corporation, 5-8-1, Yotsuya, Fuchu-shi,
Tokyo, Japan

Full name of third joint inventor: _____

Third Inventor's signature _____ Date _____

Residence: _____

Citizenship: _____

Post Office Address: _____

Full name of fourth joint inventor: _____

Fourth Inventor's signature _____ Date _____

Residence: _____

Citizenship: _____

Post Office Address: _____

Full name of fifth joint inventor: _____

Fifth Inventor's signature _____ Date _____

Residence: _____

Citizenship: _____

Post Office Address: _____

Full name of sixth joint inventor: _____

Sixth Inventor's signature _____ Date _____

Residence: _____

Citizenship: _____

Post Office Address: _____